

Appendix E

Grant

Information



**STATE OF OHIO
HAZARD MITIGATION
PLANNING GRANT APPLICATION**

OHIO EMERGENCY MANAGEMENT AGENCY

2855 W. Dublin-Granville Road Columbus, Ohio 43235
614/799-3538

Originally Submitted

August 4, 2009

DATE

(Revised 10-26-09)

Contact Name

Jeff Galloway

County Name

Butler

Position

Director

INSTRUCTIONS:

Please answer each question as fully as you can. Print or type your responses clearly. If you have questions about this application, please contact Dean Ervin, State Mitigation Planner at (614) 799-3681. Attach additional pages if necessary. Please mail your application to:

**Ohio Emergency Management Agency
ATTN: Dean Ervin, State Mitigation Planner
2855 West Dublin-Granville Road
Columbus, Ohio 43235**

PART A - COMMUNITY PLANNING

1. Describe the staff and resources needed to implement this mitigation planning activity and the applicant's ability to provide these resources.

Our goal is to hire a consultant and their responsibility will be to update the County-wide All Natural Hazard Mitigation Plan.

2. Describe how this planning activity will benefit the citizens in your community..

The plan has not been updated since 2004. We want to get the County-wide All Natural Hazard Mitigation Plan up to date. Therefore, helping to better prepare our community.

3. If this is a multi-jurisdictional plan, please identify the jurisdictions that will be covered in the plan:

List all jurisdictions in the County	Is this jurisdiction covered in your current mitigation plan? (indicate Yes or No)	Will they be invited to participate in the plan update? (indicate Yes or No)
City of Fairfield	Yes	Yes
City of Hamilton	Yes	Yes
City of Middletown	Yes	Yes
City of Monroe	Yes	Yes
City of Oxford	Yes	Yes
City of Trenton	Yes	Yes
Village of College Corner	Yes	Yes
Village of Jacksonburg	Yes	Yes
Village of Millville	Yes	Yes
Village of New Miami	Yes	Yes
Village of Seven Mile	Yes	Yes
Village of Somerville	Yes	Yes
Fairfield Township	Yes	Yes
Hanover Township	Yes	Yes

PART B - MITIGATION EFFORTS

4. List and briefly describe two hazard mitigation activities that are identified in your current plan and describe their implementation. How did these activities reduce damages?

Problem:

1. Current emergency notification mechanisms may not reach all citizens at risk.

Solution:

Improved emergency notification mechanisms by implementing the Communicator NXT 4.0 and GeoCast Web. This is a reverse 9-1-1 system that is internet based. The system has 24 lines for calling out and can make 1200 calls in one hour. With this newer/upgraded system, we are able to contact more individuals quicker, therefore, minimizing the health and safety risks to residents in Butler County.

Problem:

2. River and flash flooding in Butler County currently has the potential to cause significant property damage, interruption of business and government services, personal injury and loss of life.

Solution:

Butler County held a flood risk information Open House on July 9, 2009. The newly prepared preliminary floodplain maps from FEMA were on display. They will be used by floodplain permit officials, builders and developers, lenders, realtors, insurance agents and the general public to determine flood risk, develop mitigation measures and encourage wise and responsible risk management decision-making.

5. Describe the progress made in local mitigation efforts.

1. The progress that has been made in local mitigation efforts includes purchasing a new and improved reverse 9-1-1 system (The Communicator NXT 4.0 and GeoCast Web) to notify individuals much quicker than the old system.
2. Butler County helped to better prepare citizens by having a flood risk information Open House (that was advertised in our local newspaper) and they were provided an opportunity to review a recently completed preliminary Flood Insurance Study (FIS) and its accompanying preliminary Flood Insurance Rate Maps (FIRMS) to learn about risk to their community.
3. Butler County has a Technical Rescue Team that has been trained in the event of a structural collapse in the county.
4. Butler County EMA has developed a good working relationship with our local American Red Cross Chapter. We know we can depend on their services in the event of an emergency or disaster.

6. Do your community regulations currently include mitigation provisions? (for example, floodplain management regulations, building regulations, zoning regulations)

Yes, it is handled by our Butler County Department of Development/Building and Zoning Department.

7. Do your community plans include mitigation provisions? (e.g. comprehensive land use plan, capital improvement plan, emergency management plan, zoning plan, etc.)

Yes, our Butler County Department of Development Community Plans includes: Comprehensive Land Use Plans, Land Use Goals, Flood Zone Regulations & Determinations, Flood Damage Prevention Regulations and Butler County Flood Plain Map and Zoning Maps.

8. Do any local business or government incentives exist to encourage mitigating new and retrofitting existing construction against natural hazards? (e.g. tax relief/credits, shared financial participation)

No.

9. What public awareness campaigns or training classes are currently being offered in your county that emphasize mitigation ideas, responsibilities, and opportunities for individuals and businesses to reduce property losses?

Title & Sponsor(s)	Type of campaign/training (ex. exposition, newsletter, class)	Hazard Addressed	No. of people reached last year
Ohio EMA	Damage Assessment Briefing/Class	Severe Weather	To be scheduled
Butler County Health Fair	Health Fair	Severe Weather	200

PART C - CORE PLANNING GROUP AND MITIGATION PLANNING

10. Does the committee that developed your current natural hazard mitigation plan still meet? Please highlight the group's activities.

No.

11. Please list the local stakeholders (businesses, non-profit organizations, academic institutions) that will be invited to participate on the Committee that will develop the updated plan.

A representative from each jurisdiction listed on question #2 will be invited to attend. The meeting will be advertised.

12. Has your community started developing an updated all natural hazard mitigation plan? (If yes, summarize the progress that has been made.)

No.

13. Will you hire a contractor to help develop your local hazard mitigation plan update? (If yes, please list the services they will perform such as write the plan, facilitate meetings, coordinate community input, etc.)

Our plan expires in May 2010; therefore, it will be imperative to hire a consultant to update our Hazard Mitigation Plan. The consultant will be asked to write a plan, facilitate scheduled meetings and coordinate input from the community.

14. Please describe your plan to involve the public in the development and maintenance of your local mitigation Plan.

We will schedule a public meeting in the beginning/development stages. Once a draft is complete, it will be added to the Butler County EMA website to give the public a chance to comment via e-mail.

PART D - HAZARD IDENTIFICATION & RISK ANALYSIS

15. Please provide an assessment of the frequency (high, moderate, low, very low, not applicable) **and severity** (catastrophic, extensive, serious minor) **of an event for each of the following hazards:**

Hazard	Frequency	Severity
Coastal Erosion	<input checked="" type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Minor <input type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic

Wildfire	<input type="checkbox"/> Not Applicable <input checked="" type="checkbox"/> Very Low <input type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input checked="" type="checkbox"/> Minor <input type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Earthquake	<input type="checkbox"/> Not Applicable <input checked="" type="checkbox"/> Very Low <input type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Minor <input checked="" type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Windstorms	<input type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input type="checkbox"/> Low <input checked="" type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Minor <input checked="" type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Fire	<input type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input checked="" type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input checked="" type="checkbox"/> Minor <input type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Flooding	<input type="checkbox"/> Not Applicable <input checked="" type="checkbox"/> Very Low <input type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Minor <input checked="" type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Winter Storm	<input type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input type="checkbox"/> Low <input type="checkbox"/> Moderate <input checked="" type="checkbox"/> High	<input checked="" type="checkbox"/> Minor <input type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Land Subsidence	<input checked="" type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Minor <input type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Mud/Landslide	<input type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input type="checkbox"/> Low	<input type="checkbox"/> Minor <input checked="" type="checkbox"/> Serious

	<input checked="" type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Severe Summer Storms	<input type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input type="checkbox"/> Low <input checked="" type="checkbox"/> Moderate <input type="checkbox"/> High	<input checked="" type="checkbox"/> Minor <input type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Drought	<input type="checkbox"/> Not Applicable <input checked="" type="checkbox"/> Very Low <input type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Minor <input type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Tornado	<input type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input checked="" type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Minor <input type="checkbox"/> Serious <input checked="" type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Dam / Levee Failure	<input checked="" type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Minor <input type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Invasive Species	<input checked="" type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Minor <input type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic
Other	<input checked="" type="checkbox"/> Not Applicable <input type="checkbox"/> Very Low <input type="checkbox"/> Low <input type="checkbox"/> Moderate <input type="checkbox"/> High	<input type="checkbox"/> Minor <input type="checkbox"/> Serious <input type="checkbox"/> Extensive <input type="checkbox"/> Catastrophic

16. Please provide a description of any modifications that will be made to your risk assessment as part of the update, (i.e. incorporating newly identified hazards, changes in areas vulnerable to hazards, improving loss estimates, updating your critical facilities list, etc.)

The population for Butler County will need updated and the 2008 windstorm will need to be addressed in the plan.

17. Please describe any new or additional research / studies that will be incorporated into the updated mitigation plan (i.e. new/updated FEMA Flood Insurance Rate Map or study, USGS Flood Report, academic report, etc.)

The new Flood Insurance Study (FIS) and Flood Plain Insurance Rate Maps (FIRMS) from FEMA need to be incorporated into the plan.

PART E – MITIGATION PLAN UPDATE SCOPE OF WORK

18. Describe the strategy for completing this planning activity, including the plan review process, adoption, and FEMA's approval.

Our plan is to review the existing mitigation plan and local resources, hire a consultant to write plan and facilitate meetings, compile data, schedule and conduct (4) mitigation planning meetings, update the plan to include the 2008 windstorm, review draft, make changes and reprioritize natural hazards, add plan to Butler County EMA website to give the public a chance to comment via e-mail, forward to OEMA for review, OEMA will send to FEMA for approval. We will then adopt the FEMA approved plan and return it to OEMA for the final approval.

19. Please enter the Plan update work schedule. See example below.

Task Description	Start Date	Finish Date	Duration (no. of days, etc.)
Example: Conduct research for Risk Assessment	October 2009	December 2009	3 Months
Review current mitigation plan guidance and requirements	November 2009	December 2009	1 month
Review existing mitigation plan and local resources available to assist in the update process.	December 2009	February 2010	2 months

Hire consultant to write plan and facilitate local meetings	February 2010	April 2010	2 months
Compile data, studies and information collected since initial plan approval	April 2010	July 2010	3 months
Schedule and conduct four mitigation planning committee meetings	July 2010	October 2010	3 months
Update Hazard Identification and Risk Assessment to include September 2008 wind storm	October 2010	December 2010	2 months
Review draft plan, make necessary revisions, reprioritize natural hazards, add plan to Butler County EMA website to give public a chance to comment on plan via e-mail, forward to Ohio EMA for review, Ohio EMA will send to FEMA for approval.	December 2010	April 2011	4 months
Adopt the FEMA approved plan, return to Ohio EMA for final approval.	April 2011	May 2011	1 month

SAMPLE WORK SCHEDULE			
Task Description	Start Date	Finish Date	Duration (no. of days, etc.)
Review current mitigation plan guidance and requirements	October 2009	November 2009	1 month
Review existing mitigation plan and local resources available to assist in the update process.	November 2009	January 2010	2 months
Hire contractor to write plan and facilitate local meetings	January 2010	March 2010	2 months
Compile data, studies and information collected since initial plan approval	March 2010	June 2010	4 months
Schedule and conduct six mitigation planning committee meetings	June 2010	September 2010	3 months
Update Hazard Identification and Risk Assessment to include 2007 flood and September 2008 wind storm	September 2010	November 2010	2 months
Review draft plan, make necessary revisions, forward to Ohio EMA for review, Ohio EMA will send to FEMA for approval.	November 2010	March 2011	4 months
Adopt the FEMA approved plan, return to Ohio EMA for final approval.	March 2011	April 2011	1 month
Total Duration:			1 year, 7 months

PART – F COST ANALYSIS

20. This is a cost-share initiative. Federal funds provide 75% of the funding with a 25% non-federal match. The non-federal match can be local government allocations and/or in-kind services. Please indicate how your county intends on funding the mandatory 25% match.

A Hazard Mitigation Planning Committee has been formed and it will be funded through in-kind services.

21. Describe how you will manage the costs and schedule and how you will ensure successful performance.

Performance will be tracked on a quarterly basis. We will be tracking costs and managing the work schedule as outlined in question #19.

22. Please enter the budget table information for this project (Please add more rows if needed):

Task Description	Estimated Cost	Federal Share	Local Share	Comments
Hazard Mitigation Planning Committee Meetings	\$8,724.26	\$6,543.20	\$2,181.07	Local in-kind covers Admin costs, supplies, printing materials
Please see breakdown below:				
Deliverable	Rate	Time	Cost	
Committee Meetings:				
4 Meetings (2 EMA Board & 2 LEPC)	\$25.00/hr.	8 Each	\$200.00	All emergency management planning including mitigation
Public Input:				
2 Meetings/Integrate public comments	\$25.00/hr.	5 Each	125.00	Attending meetings within Butler County including Cities & Townships
Plan Development Meetings:				
Mileage for Meetings:	\$0.50/mi.	240 miles	120.00	Total of 6 Meetings @ 40 miles=400 mi.
Plan Development Time:	\$24.42/hr.	110 hours	2,686.45	
Plan Review & Revision to OEMA	\$23.49/hr.	53 hours	\$1,244.76	
Plan Review & Revision per FEMA recommendations	\$23.80/hr.	36 hours	856.86	
Review of FEMA Approved Plan with Committee/jurisdictions	\$23.22	47 hours	1091.35	
Plan Maintenance & Evaluations	\$29.49/hr.	20 hours	589.84	
Phone Charges:				
Conferencing Fee			150.00	

Office Supplies: 100 cd's and sleeves	\$1.00/cd's & sleeves	100 cd's & sleeves	100.00	\$1.00 @ 100 cd's and sleeves
Printing: 50 hard copies of the Hazard Mitigation Plan including color maps	\$30.00 per copy	52 hard copies	1,560.00	300 black & white pages @ .03/copy = \$9.00 300 color pages @ .07/copy = \$21.00 \$9.00 + \$21.00 = \$30.00 @ 52 hard copies
Total Estimated Cost			\$8,724.26	

23. Please use this opportunity to clarify your answers to any of the above questions; to comment on your community's ability to write, fund and implement an all-natural hazard mitigation plan; or to comment on this application.

Butler County EMA is under new leadership under Director Jeff Galloway, therefore, we look to upgrade the Hazard Mitigation Plan for all cities, townships and villages in Butler County within the next two to three years.

I acknowledge that all information on this application is true to the best of my knowledge. If awarded this grant, I understand that the end result must be a FEMA-approved natural hazard mitigation plan that must be adopted by each jurisdiction participating in the plan to maintain mitigation project eligibility.

Jeff Galloway, Director
Signature and Title of Local Official

Revised 5-5-10
Date

(Continued from Page #2)

3. If this is a multi-jurisdictional plan, please identify the jurisdictions that will be covered in the plan:		
List all jurisdictions in the County	Is this jurisdiction covered in your current mitigation plan? (indicate Yes or No)	Will they be invited to participate in the plan update? (indicate Yes or No)
Lemon Township	Yes	Yes
Liberty Township	Yes	Yes
Madison Township	Yes	Yes
Milford Township	Yes	Yes
Morgan Township	Yes	Yes
Oxford Township	Yes	Yes
Reily Township	Yes	Yes
Ross Township	Yes	Yes
St. Clair Township	Yes	Yes
Wayne Township	Yes	Yes
West Chester Township	Yes	Yes

BUTLER COUNTY EMERGENCY MANAGEMENT AGENCY
315 High Street, Suite 670
Hamilton, Ohio 45011
513.785.5810 galloway@butlercountyohio.org

DRAWDOWN	DELIVERABLES	TOTAL			GRANT	MATCH			PERCENT
		\$	8,724.00	\$	6,543.00	\$	2,181.00		
INCREMENT # 1 ~10% (para 8.a) *	Signed Grant Agreement Provide Notice of Authorized Agent Signed IRS Form W-9 List of participating Core Group Members with associated organization/company	\$		654.00	\$		-		0.00%
INCREMENT # 2 ~40% (para 8.b) *	Hazard Identification Risk Assessment Hazard Profile and Analysis Loss Estimate Problem Identification Establish goals and actions plans	\$		2,617.00	\$		1,090.00		50%
INCREMENT # 3 ~25% (para 8.c) *	Draft All-Natural Hazard Mitigation Plan Update that incorporates all Reviewer's comments.	\$		1,636.00	\$		546.00		25%
INCREMENT # 4 ~25% (para 8.d) *	Updated All-Natural Hazard Mitigation Plan that has been adopted by Sub-Grantee and received final approval from FEMA State of Ohio Mitigation Web Portal has been updated	\$		1,636.00	\$		545.00		25%

* refers to Attachment 6, "State-Local Grant Agreement" to DR-1805-OH Administrative Plan, September 2009.

DESIGNATION OF APPLICANT'S AGENT

RESOLUTION

BE IT RESOLVED BY Butler County Emergency
Management Agency OF Butler County, Ohio
(Governing Body) (Public Entity)

THAT Jeff Galloway, EMA Director
(Name of Incumbent) (Official Position)

is hereby authorized to execute for and in behalf of Butler County Board of Commissioners
, a public entity established under the laws of the State of Ohio

this application and to file it in the appropriate State office for the purpose of obtaining certain Federal financial assistance under the Disaster Relief Act (Public Law 288, 23rd Congress) or otherwise available from the President's Disaster Relief Fund.

Butler County Emergency
THAT Management Agency, a public entity established under the laws of the State of Ohio, hereby authorized its agent to provide to the State and to the Federal Emergency Management Agency (FEMA) for all matters pertaining to such Federal disaster assistance the assurances and agreements as listed in the Grant Agreement.

Passed and approved this 13th day of September, 20 10.

[Signature]
(Name and Title)

Charles R. Furman
(Name and Title)

[Signature]
(Name and Title)

CERTIFICATION

I, Flora R. Butler, duly appointed and Clerk of the Board of
(Title)
Butler County Ohio, do hereby certify that the above is a true and correct copy of a resolution passed and approved by the Commissioners of Butler County, Ohio
(Governing Body) (Public Entity)

on the 13th day of September, 20 10.

Date: 9/13/10

Clerk of the Board
(Official Position)

[Signature]
(Signature)

*Name of Incumbent need not be provided in those cases where the governing body of the public entity desires to authorize any incumbent of the designated official position to represent it.

obligated by Sub-Grantee and for which Sub-Grantee has submitted a request to Sub-Grantor for reimbursement.

- f. In the event there are unused Project funds, Sub-Grantee shall return the funds to Sub-Grantor within sixty (60) days of the termination of the grant or the completion of the plan, whichever is earlier.
- g. Sub-Grantee shall administer the Project throughout the term of this Agreement. The Authorized Representative shall submit quarterly financial and narrative progress reports to the State Hazard Mitigation Officer as outlined, within fifteen (15) days following the end of each calendar quarter.

January – March	Due April 15
April – June	Due July 15
July – September	Due October 15
October – December	Due January 15

Sub-Grantee shall submit a final financial and narrative report to the State Hazard Mitigation Officer within thirty (30) days prior to the expiration of the grant or within thirty (30) days after completion of the all-natural hazards mitigation plan, whichever is earlier. Quarterly and final reports shall include the status of the Project, estimated completion date, and expenditures to date.

Failure to provide the required reports will result in suspension of grant funds until the required reports are provided and approved by the Grantee.

- h. Sub-Grantee shall maintain, for at least three (3) years from the date of completion or abandonment of the Project, or from the date of termination of this Agreement, all records pertaining to the project, including, but not limited to, contracts, invoices, vouchers, paid receipts, and other supporting documentation.
- i. Sub-Grantee shall comply with all applicable federal, state, and local laws, rules, regulations, ordinances, codes, and standards, including, but not limited to, policies and guidelines established by the Mitigation Branch of the Ohio Emergency Management Agency, 44 Code of Federal Regulations Part 13 Section 206, and Office of Management and Budget Circular A-87.

8. Obligations are to be met by the Sub-Grantor for the payment of grant funds.

- a. Upon receipt of a fully-executed Agreement, Notice of Award, Notice of Authorized Agent, Internal Revenue Service form W-9 and a list of all persons participating as Core Group members with their associated agency or company the Sub-Grantor shall advance 10% of its share of the total project cost to Sub-Grantee to allow Sub-Grantee to start the Project.

**Request for Taxpayer
Identification Number and Certification**

Give form to the
requester. Do not
send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return)

Business name, if different from above

Butler County Emergency Management Agency

Check appropriate box: ☐ Individual/
Sole proprietor

☐ Corporation

☐ Partnership

☒ Other

☐ Exempt from backup
withholding

Address (number, street, and apt. or suite no.)

315 High Street, Suite 670

City, state, and ZIP code

Hamilton, OH 45011

List account number(s) here (optional)

Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number

or

Employer identification number

3 1 6 0 0 0 0 6 1

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. person (including a U.S. resident alien).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See the instructions on page 4.)

Sign
Here

Signature of
U.S. person

Date

8/27/10

Purpose of Form

A person who is required to file an information return with the IRS, must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

U.S. person. Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee.

In 3 above, if applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

For federal tax purposes, you are considered a person if you are:

- An individual who is a citizen or resident of the United States,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States, or
- Any estate (other than a foreign estate) or trust. See Regulations sections 301.7701-6(a) and 7(a) for additional information.

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

- The U.S. owner of a disregarded entity and not the entity,

Resolution No. 10-09-1396**Resolved By the Board of County Commissioners of Butler County, Ohio, That**

WHEREAS, the Butler County Emergency Management Agency wishes to file an application for financial assistance under the Disaster Relief Act; and

WHEREAS, the Butler County Commissioners authorizes the Emergency Management Agency Director to execute such application on behalf of Butler County.

NOW, THEREFORE, BE IT RESOLVED that Jeff Galloway, Emergency Management Agency Director, is authorized to execute an application for the Disaster Relief Act or otherwise the President's Disaster Relief Fund for obtaining Federal Financial Assistance for all matters pertaining to Federal Disaster assistance.

Resolution No. 10-09-1396

Requestor : Flora Butler
Request Date: September 02, 2010

Commissioner Dixon moved for the adoption of the foregoing resolution.
Commissioner Furmon seconded the motion and upon call of the roll
the vote resulted as follows:

Commissioner Jolivette	Yea
Commissioner Furmon	Yea
Commissioner Dixon	Yea

Adopted: September 13, 2010

Attest: Flora K. Butler, clerk



FEMA

Ms. Nancy J. Dragani, Executive Director
Ohio Department of Public Safety
Emergency Management Agency
2855 West Dublin-Granville Road
Columbus, OH 43235-2206

NOV - 8 2010

Attention: Mr. Steve Ferryman, State Hazard Mitigation Officer

RE: 1805.31-R City of Fairfield Acquisition/Demolition Project

Dear Ms. Dragani:

Your application received in our office February 20, 2010, for the acquisition and demolition of up to 17 residential structures from the Pleasant Run floodplain has been approved. The Hazard Mitigation Grant Program (HMGP) funds under the disaster declaration DR-1805-OH have been obligated for the following project site:

<u>Project</u>	<u>Federal Share</u>
1805.31-R City of Fairfield, OH Acquisition/Demolition Project	\$2,716,589

This project has been cleared under the National Environmental Policy Act (NEPA) and determined to be categorically excluded in accordance with 44 CFR Part 10.8(d)(2)(vii).

On October 15, 2010, we received and accepted acknowledgement from your office and the City of Fairfield that a Hazard Mitigation Plan will be completed within 12 months of the date of this letter. If the plan has not been FEMA approved within 12 months of the date of this letter the project will be terminated immediately. Any costs incurred after the notice of grant termination will not be reimbursed by FEMA.

The NEMIS Project Management Report indicates the amount of funds designated for HMGP project costs. You may only draw down those funds you will disburse within three days of the draw down date.

Should you need any assistance or have questions, please have your staff contact Duane Castaldi at 312-408-5549.

Sincerely,

A handwritten signature in dark ink, appearing to read "Andrew Velasquez III". The signature is fluid and cursive, with a large initial "A" and a stylized "V".

Andrew Velasquez III
Regional Administrator

Attachments: NEMIS Project Management Report
Project Summary

**HAZARD MITIGATION GRANT PROGRAM
PROJECT SUMMARY**

Project Number: 1805.31-R
Amendment Number: 0

Grantee: State of Ohio
Subgrantee: City of Fairfield

Scope of Work: Hazard Mitigation Demolition/Acquisition Grant

Project Costs:

Action Number	Supplement Number	HMGP Funds Obligated	Grantee Total	Sub-Grantee Total	Total
1		\$2,716,589	\$0	\$0	\$2,716,589
Total		\$2,716,589	\$0	\$0	\$2,716,589

% Federal Share: 75%

Environmental Clearance: CATEX 44 CFR Part 10.8(d)(2)(vii)
Acquisition/Demolition

Benefit/Cost: 1.38

Jeff Harraman - Fwd: HMGP Planning Grant Payment Draw 1

From: Jeff Galloway
To: Jeff Harraman; Tonya Dutze
Date: 11/15/2010 12:39 PM
Subject: Fwd: HMGP Planning Grant Payment Draw 1

>>> "Dean Ervin" <DErvin@dps.state.oh.us> 11/15/2010 11:25 AM >>>

This email is to confirm payment of the Butler County draw down request #1 for the Hazard Mitigation Plan Update Grant funded under FEMA DR-1805-OH. The information is as follows:

Project: DR-1805.10-P
Payment Reference ID: 0015242267
Payment Method: Check
Payment Date: 11/12/2010
Payment Amount: \$ 654.00
Payment Source: Federal Project Funds (CFDA 97.039)

If you have any questions, please email or call 614-799-3681. Thank you.

Ohio Dept. of Public Safety Policy Number DPS-800.19 Classification Label
Confidentiality: PUBLIC
Criticality: LOW

Dean W. Ervin, Sr.
State Mitigation Planner

Ohio Emergency Management Agency
Disaster & Preparedness Grants Division - Hazard Mitigation Branch
2855 W. Dublin-Granville Road
Columbus, Ohio 43235-2206

Phone: 614.799.3681
Cellular: 614.563.8670
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**OHIO DEPARTMENT
OF PUBLIC SAFETY**
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- Administration
- Bureau of Motor Vehicles
- **Emergency Management Agency**
- Emergency Medical Services
- Office of Criminal Justice Services
- Ohio Homeland Security
- Ohio Investigative Unit
- Ohio State Highway Patrol



Ted Strickland, Governor
Thomas J. Stickrath, Director
Nancy J. Dragani
Executive Director

Emergency Management Agency
2855 West Dublin-Granville Road
Columbus, Ohio 43235-2206
(614) 889-7150
www.ema.ohio.gov

**STATE-LOCAL GRANT AGREEMENT
HAZARD MITIGATION GRANT PROGRAM - CFDA 97.039
FEMA-DR-1805-OH
DECLARED (10/24/2008)
(7% HMGP PLANNING GRANT)**

This Grant Agreement (the "Agreement") is made and entered into by, and between, the State of Ohio, Department of Public Safety, Ohio Emergency Management Agency, located at 2855 West Dublin-Granville Road, Columbus, Ohio 43235-2206 (herein referred to as the "Grantee"); and, the Butler County Emergency Management Agency, located at 315 High Street, Suite 670, Hamilton, Ohio 45011 (herein referred to as the "Sub-grantee").

The purpose of this Agreement is to provide financial assistance from Sub-Grantor to Sub-Grantee for the development of an all-natural hazards mitigation plan for cost-effective hazard mitigation activities that complement a comprehensive mitigation program and reduce injuries, loss of life, and damage and destruction of property.

This agreement will be in effect for the period beginning **August 13, 2010 and ending August 13, 2012.**

1. Pursuant to section 322 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 United States Code 5121, et seq., as amended, and 44 Code of Federal Regulations Part 206 local governments are required to develop an all natural hazards plan as a prerequisite for receiving Hazard Mitigation Grant Program funding for project development.
2. Pursuant to the provisions of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 USC 5121, et. seq. ("Stafford Act") as amended, the Federal Emergency Management Agency ("FEMA") has been authorized by Congress to make grants to states to mitigate natural disasters. The Ohio Emergency Management Agency ("Ohio EMA"), has received grant funds for that purpose.
3. The Ohio Emergency Management Agency has been designated as the Grantee to receive, administer, and disburse FEMA mitigation funds for local government mitigation activities in areas of Ohio and to provide technical assistance with the Hazard Mitigation Grant Program (HMGP). The HMGP is authorized by Section 404 of the Stafford Act, Public Law 93-288. Grantee shall monitor and evaluate the implementation of mitigation activities and control the disbursement of HMGP funds from FEMA.
4. The Butler County EMA is the Sub-grantee and has submitted an application, which is incorporated herein by reference, to the Grantee setting forth a list of activities (herein referred to individually as "Plan"). The Grantee and FEMA have approved the development

Mission Statement

"to save lives, reduce injuries and economic loss, to administer Ohio's motor vehicle laws and to preserve the safety and well being of all citizens with the most cost-effective and service-oriented methods available."

of an All Natural Hazards Plan along with any exceptions that have been made prior to signing of this agreement. The Sub-grantee agrees to complete the PLAN within two years of FEMA approval, unless a time extension is granted by the Grantee.

5. Sub-grantee shall participate in the development of, and shall coordinate and monitor the implementation of the local hazard mitigation measures; and shall regulate and control development within hazardous areas.
6. Sub-grantee has the legal authority to accept mitigation funds and shall provide all necessary financial and managerial resources to meet the terms and conditions of receiving federal and state mitigation funds. The financial management system must comply with 44 Code of Federal Regulations (CFR) Part 13, OMB Circular A-87, and Auditor of State Bulletin 99-05.
7. Sub-Grantee hereby agrees that the grant funds shall be used solely for undertaking and completing an all-natural hazards mitigation plan and that the expenditure of grant funds shall be supported by contracts, invoices, vouchers, paid receipts and other documentation, as appropriate, evidencing the actual costs incurred by Sub-Grantee. Costs incurred prior to the complete execution of this Agreement are not allowable, unless specifically authorized by Sub-Grantor. Only those costs, which are allowable as defined in 44 CFR Part 13, Part 206 and OMB Circular A-87, will be paid:

All funds received by Sub-Grantee pursuant to this Agreement shall be deposited in a separate, non-interest bearing account specifically designated for this Project or accounted for separately by Sub-Grantee

- a. This Grant Agreement in the amount of \$ 8,724.00 ("Funds") will serve as the contract between the Grantee, Ohio EMA and the Sub-grantee, the Butler County EMA for the purpose of the approved project. This grant amount represents the total Federal and Local share of the cost of the Project.
- b.

Total estimated cost of the mitigation project is	\$ 8,724.00
Total HMGP contribution is:	\$ 6,543.00
Total State of Ohio contribution is:	\$ 0.00
Local contribution:	\$ 2,181.00
- c. Sub-grantee agrees to provide the necessary local cost share as required by 44 CFR Part 13.24 and the funding will be available within the specified period of time for completion of the Projects. Documentation of the use of the local cost share is required.
- d. Obligations of Grantee are subject to provisions of Section 126.07 of the Ohio Revised Code.
- e. In the event that the Project does not become operational and/or is abandoned, no further funds shall be paid by Sub-Grantor to Sub-Grantee, except those already

obligated by Sub-Grantee and for which Sub-Grantee has submitted a request to Sub-Grantor for reimbursement.

- f. In the event there are unused Project funds, Sub-Grantee shall return the funds to Sub-Grantor within sixty (60) days of the termination of the grant or the completion of the plan, whichever is earlier.

- g. Sub-Grantee shall administer the Project throughout the term of this Agreement. The Authorized Representative shall submit quarterly financial and narrative progress reports to the State Hazard Mitigation Officer as outlined, within fifteen (15) days following the end of each calendar quarter.

January – March	Due April 15
April – June	Due July 15
July – September	Due October 15
October – December	Due January 15

Sub-Grantee shall submit a final financial and narrative report to the State Hazard Mitigation Officer within thirty (30) days prior to the expiration of the grant or within thirty (30) days after completion of the all-natural hazards mitigation plan, whichever is earlier. Quarterly and final reports shall include the status of the Project, estimated completion date, and expenditures to date.

Failure to provide the required reports will result in suspension of grant funds until the required reports are provided and approved by the Grantee.

- h. Sub-Grantee shall maintain, for at least three (3) years from the date of completion or abandonment of the Project, or from the date of termination of this Agreement, all records pertaining to the project, including, but not limited to, contracts, invoices, vouchers, paid receipts, and other supporting documentation.
- i. Sub-Grantee shall comply with all applicable federal, state, and local laws, rules, regulations, ordinances, codes, and standards, including, but not limited to, policies and guidelines established by the Mitigation Branch of the Ohio Emergency Management Agency, 44 Code of Federal Regulations Part 13 Section 206, and Office of Management and Budget Circular A-87.

8. Obligations are to be met by the Sub-Grantor for the payment of grant funds.

- a. Upon receipt of a fully-executed Agreement, Notice of Award, Notice of Authorized Agent, Internal Revenue Service form W-9 and a list of all persons participating as Core Group members with their associated agency or company the Sub-Grantor shall advance 10% of its share of the total project cost to Sub-Grantee to allow Sub-Grantee to start the Project.

- b. Upon receipt of a completed hazard identification, risk assessment, hazard profile and analysis, loss estimate, problem identification, established goals and action plans, analysis, which is accepted by the Mitigation Branch of the Ohio Emergency Management Agency and which has been forwarded to the Federal Insurance and Mitigation Administration Region V, Sub-Grantee shall be entitled to reimbursement of 40% of Sub-Grantor's share of the total project cost. Payment shall be issued as reimbursement for actual expenses and is contingent upon receipt of quarterly financial and narrative reports and demonstration of the local matching share.
 - c. Upon receipt of a draft all-natural hazards mitigation plan which has incorporated all reviewer comments on the document previously provided, which is accepted by the Mitigation Branch of the Ohio Emergency Management Agency and which has been forwarded to the Federal Insurance and Mitigation Administration Region V, Sub-Grantee shall be entitled to reimbursement of 25% of Sub-Grantor's share of the total project cost. Payment shall be issued as reimbursement for actual expenses and is contingent upon receipt of quarterly financial and narrative reports and demonstration of the local matching share.
 - d. Upon receipt of a final and adopted all-natural hazards mitigation plan, which has incorporated all reviewer comments on the documents previously provided and which is accepted by the Mitigation Branch of the Ohio Emergency Management Agency the Mitigation Branch will forward the final plan to the Federal Emergency Management Agency for approval. Upon FEMA approval, the Sub-Grantee must update the State of Ohio Mitigation Web Portal with all relevant information from the new or updated local hazard mitigation plan. Sub-Grantee shall be entitled to reimbursement of the final 25% of Sub-Grantor's share of the total project cost after the plan is approved by FEMA and the State of Ohio Mitigation Web Portal has been updated. Payment shall be issued as reimbursement for actual expenses and is contingent upon receipt of quarterly financial and narrative reports and demonstration of the local matching share.
9. To the extent permitted by law, Sub-Grantee agrees to be responsible for any and all liabilities or claims caused by or resulting from Sub-Grantee's completion of the Project and under this Agreement. Nothing in this Agreement shall be construed as an assumption of liability by either the Ohio Emergency Management Agency or the Federal Emergency Management Agency.
10. In the event Sub-Grantee fails to utilize the funds granted under this Agreement for the purpose set forth in the Project and in accordance with the terms and conditions of this Agreement, Sub-Grantee shall be in default. In such an event, Sub-Grantor may (a) withhold further payment of funds to Sub-Grantee and/or (b) require Sub-Grantee to reimburse all or any portion of the funds granted to Sub-Grantee under this Agreement and/or (c) terminate the Agreement. Each remedy herein conferred upon or reserved by Sub-Grantor is intended to be exclusive of any other available remedy existing in law or in equity.

- b. The closeout of the grant does not affect the right of the awarding agency to disallow costs and recover funds on the basis of a later audit or other review, the obligation to return any funds due as a result of later refunds, corrections, or other transactions, records retention as required in Part 13.42, Property management requirements in Parts 13.31 and 13.32, and audit requirements in Part 13.26.
- d. Any funds not returned within a reasonable period of time after request, may result in an administrative offset against other requests for assistance, withholding advance payments otherwise due, and other action permitted by law.

22. AUDIT REQUIRMENTS (OMB Circular A-133)

In accordance with the Single Audit Act of 1984 as amended and guidance described in OMB Circulars A-102, A-110 (if applicable), and A-133, the following procedures will assure compliance with those standards in the administration of the Hazard Mitigation Grant Program (HMGP) to eligible Sub-grantees, pursuant to a Presidential Declaration of major disaster in the State of Ohio.

- a. The Governor's Authorized Representative (GAR) will provide the Auditor of State a listing of all State agencies and local governments which have been approved to receive Federal funds under the HMGP. This will serve as notice to State field examiners to inquire about the funds at the time of the respective Sub-grantees single audit, ensuring at a minimum, the inclusion of those funds in the Audit Report's "Schedule of Federal Financial Assistance".
- b. The Sub-grantee has the obligation to comply with all applicable rules and regulations of the HMGP, to include OMB Circulars A-87, A-102, A-110, A-128, and A-133, if appropriate. If the applicant desires copies of the OMB Circulars, they are available from the County and/or State Auditor's Office.
- c. The Single Audit Act of 1984 as amended requires local governments, state agencies/departments, and private non-profit organizations expending a total of \$500,000 or more in federal financial assistance in any fiscal year to have a single audit performed.

Those local governments, state agencies/departments, or private non-profit organizations expending less than \$500,000 in federal financial assistance must supply the GAR with a letter from a clerk/treasurer, for each fiscal year HMGP funds are received, certifying that status.

- d. Audit reports must be sent to the GAR by the Sub-grantees within one (1) month of Audit Report publication. Failure to do so, without reasonable justification, could result in suspension of any further advances of funds or final reimbursement by the GAR under the HMGP.

Delay or omission to exercise any right or option accruing to Sub-Grantor upon any default by Sub-Grantee shall not impair any such option and shall not be construed as a waiver thereof by Sub-Grantor.

11. Any notices, requests or other communications required by or given under this Agreement and/or relating to the Project shall be in writing and addressed to the following individuals:

In the case of Sub-Grantee:

Name Jeff Galloway, Director
 Authorized Agent
Address 315 High Street, Suite 670
 Hamilton, Ohio 45011
Telephone: 513-785-5810
Facsimile: 513-785-5811
E-Mail: gallowayjl@butlercountyohio.org

12. Miscellaneous Provisions.

- a. Requests for amendment or modification of this Agreement shall be in writing and shall specify the requested change(s) and the justification for the change. All amendments or modifications shall be set forth in a written addendum, executed by the parties.
- b. This Agreement and any documents referred to herein and attached hereto constitute the complete understanding of the parties and supersede any and all other discussions, agreements, understandings, either oral or written, between them with respect to the subject matter of this Agreement. Whenever possible, each provision of this Agreement shall be interpreted in such a manner as to be effective and valid under applicable law, but if any provision of this Agreement is held to be prohibited by or invalid under applicable law, such provision shall be ineffective only to the extent of such prohibition or invalidity, without invalidating the remainder of such provision or other provisions of this Agreement.
- c. Neither this Agreement nor any rights, duties, or obligations described herein may be assigned by Sub-Grantee without prior consent of Sub-Grantor.

13. Sub-grantee shall return to Grantee any HMGP and State funds, which are not supported by audit or other federal or state review of documentation maintained by the Sub-grantee. (44 CFR Part 13.26)

14. Sub-grantee shall comply with all applicable state and local ordinances, laws, regulations, building codes and standards applicable to this project
15. During the entire term of this Agreement, Sub-Grantee shall maintain good standing in the National Flood Insurance Program (NFIP) and shall comply with all local regulations, codes, and standards pertaining to NFIP.
16. Sub-grantee shall comply with 44 CFR Part 13.36 in all procurements, including the contract provisions found in subsection 13.36 (i)(I)- (13). In particular,
 - a. Sub-grantee shall comply, as applicable, with provisions of federal laws and regulations pertaining to labor standards, and the State of Ohio Prevailing Wages laws and regulations.
 - b. Sub-grantee shall not enter into any contract with any party which is debarred or suspended from participating in federal assistance programs, or is otherwise ineligible pursuant to E.O. 12549, Debarment and Suspension, as implemented at 44 CFR Part 67.
17. Sub-grantee has read, understands, and shall comply with the State of Ohio Audit Requirements/Compliance Standards (attached), and OMB Circular A-133.
18. Sub-grantee shall comply with all applicable federal, state and local ordinance, laws, regulations, requirements, labor standards, building codes and standards as pertains to this project and identified in 44 CFR Part 13, and agrees to provide maintenance as appropriate.
19. ENFORCEMENT (44 CFR PART 13.43)
 - a. If the Sub-grantee fails to comply with the terms of the award, whether stated in a federal statute or regulation, an assurance, in a State plan or application, a notice of award, or elsewhere, the awarding agency may take one or more of the following actions, as appropriate in the circumstances:
 - 1). Temporarily withhold cash payments pending correction of the deficiency;
 - 2) Disallow all of part of the cost of the activity or action not in compliance;
 - 3) Wholly or partly suspend or terminate the current award for the program;
 - 4) Withhold further awards for the program;
 - 5) Take other remedies that may be legally available.
 - b. In taking an enforcement action, the awarding agency and/or the Grantee will provide an opportunity for such hearing, appeal, or other administrative proceeding to which the Grantee or Sub-grantee is entitled under any statute or regulation applicable to the action involved.

- c. Costs resulting from obligations incurred by the Sub-grantee during a suspension or after termination of an award are not allowable unless the awarding agency expressly authorizes them in the notice of suspension or termination. Other costs during suspension or after termination which are necessary and not reasonably avoidable are allowable if:
 - 1). The costs result from obligations which were properly incurred before the effective date of suspension or termination, are not in anticipation of it, and, in the case of a termination, are not cancelable, and,
 - 2) The costs would be allowable if the award were not suspended or expired normally at the end of the funding period in which the termination takes place.

20. ENVIRONMENTAL COMPLIANCE

- a. Sub-grantee will comply with 44 CFR Part 10 Environmental Considerations, National Environmental Policy Act (NEPA), and other federal and state environmental laws and regulations in the implementation of the Project. The Grantee will provide the Sub-grantee with a signed copy of the Record of Environmental Review (RER) and supporting letters and documentation as soon as FEMA approves the project. The RER will identify any special conditions placed on the project that may impact demolition activities, the elevation of any structures, underground storage tanks, cutting of trees or removal of fences or vegetation and disposal of any materials in approved dump sites and so on.
- b. Failure to comply with any environmental condition or requirement will result in the Sub-grantee reimbursing to the Grantee any federal or state funds expended on a property where environmental non-compliance has occurred.

21. CLOSE-OUT (44 CFR PART 13.50)

- a. The Project will be reconciled and closed-out following Federal Emergency Management Agency approval of the all-natural hazards mitigation plan and receipt of a close-out report for the grant.
- b. Sub-grantee will notify the Grantee as soon as the Project has been completed, and will provide a Final Progress Report and financial report within 30 days. Grantee will conduct a final site visit within 30 days of receiving the final progress report and financial report, and provide the Sub-grantee with a their findings within 30 days of the visit. The findings will outline the results of the site visit and in particular any upward or downward adjustment to allowable costs.
- c. Sub-grantee will immediately refund any balance of unobligated cash advanced that is not authorized to be retained for use on other grants.

- e. If during any single audit the Sub-grantee has been informed of non-compliance findings regarding this program, the Sub-grantee shall verbally notify the GAR immediately and prior to publication of the Audit Report.
 - f. The Sub-grantees will correct the finding(s) within thirty (30) days of written notification of non-compliance, if not sooner, and notify the GAR in writing of the actions taken.
 - g. Findings against the Sub-grantee remaining uncorrected by the Sub-grantee will be deducted from the applicant's final reimbursement by the GAR in the amount of funds questioned in the Audit Report. If the GAR has already dispersed final settlement, and a subsequent audit report identifies non-compliance by the Sub-grantee, collection proceedings will be initiated by the GAR against the Sub-grantee in the amount of the questioned costs.
 - h. Throughout the lifetime of the HMGP, it is the responsibility of the Sub-grantee to inform the State (or private) examiner of their participation in this program at the time of their respective single audits.
 - i. The GAR will receive a listing from the State Auditor's Office of any regular or single audits completed for each Sub-grantee's jurisdiction/organization. The audits will not be forwarded to the GAR, this is an administrative requirement for each Sub-grantee to complete.
 - j. The GAR will review each audit report received to assure that:
 - 1. If applicable, the grant(s) received that fiscal year are included in the "Schedule for Federal Financial Assistance" portion of the Audit Report, and that the report properly addresses the HMGP, as required under the Single Audit Act and appropriate OMB guidance;
 - 2. Any of the program activities, which may have been tested by the State Examiner are in compliance with all regulations pertaining to the HMGP and single audit requirements;
 - 3. Audit findings against the Sub-grantee pertaining to this grant will be rectified within thirty (30) days of receipt of the Audit Report by the Sub-grantee, either with guidance from, or, established by the State.
23. From the onset of application approval, the GAR will work closely with the Sub-grantee to include site mid-program reviews and inspections of completed, approved projects by the GAR.

23. ETHICS REQUIREMENT

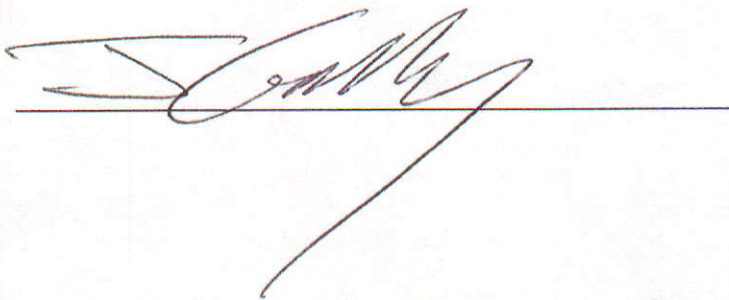
In accordance with Executive Order 2007-01S, [Grantee, Subgrantee, Recipient] by signature on this document, certifies: (1) it has reviewed and understands Executive Order 2007-01S, (2) it has

reviewed and understands the Ohio ethics and conflict of interest laws, and (3) it will take no action inconsistent with those laws and this order. Grantee, Subgrantee, Recipient] understands that failure to comply with Executive Order 2007-01S is, in itself, grounds for termination of this grant and may result in the loss of other contracts or grants with the State of Ohio.

STATE OF OHIO
HAZARD MITIGATION GRANT PROGRAM (HMGP)
GRANT AGREEMENT


IN WITNESS WHEREOF, the parties hereto have executed this Grant Agreement on the day and year set forth below:

SUB-GRANTEE - BUTLER COUNTY EMA



8/24/10
Date

GRANTEE



NANCY J. DRAGANI, Executive Director
Ohio Emergency Management Agency

8/25/10
Date

(FON)

STATE OF OHIO

HMA QUARTERLY REPORT (Plans)

Sub-grantee: Butler County Emergency Management Agency	County: Butler	Project Number:
Project Approval Date: TBD	Project Completion Date: TBD	Date Of Report: 1/18/11
Reporting Period: <u>1st Qtr</u> 1 st Qtr (Oct 1-Dec 31) 3 rd Qtr (Apr 1-June 30) 2 nd Qtr (Jan 1-Mar 30) 4 th Qtr (Jul 1-Sept 30)	Funding Source: <u>HMGP</u> HMGP (Hazard Mitigation Grant Program) FMA (Flood Mitigation Assist Program) RFC (Repetitive Flood Claims Program) SRL (Severe Repetitive Loss Program) PDM (Pre Disaster Mitigation Program)	Total Project Cost: \$8,724.00
Percent Completion: <u>90</u> %	Status of Costs: <u>Unchanged</u> (insert appropriate status)	
Is completion of work on schedule: <u>Y</u> N	1. Unchanged 2. Overrun 3. Underrun	

FEDERAL Funds Awarded: \$8,724.00	FEDERAL Funds Expended Qtr: \$654.00	Total FEDERAL Funds Expended: \$654.00
STATE Funds Awarded: \$6,543.00	STATE Funds Expended Qtr: 0.00	Total STATE Funds Expended: 0.00
LOCAL Share Committed: \$2,181.00	LOCAL Share Expended Qtr: 0.00	Total LOCAL Share Expended: 0.00
*		

*Attach Local Share Commitment Document

STATE OF OHIO

HMA QUARTERLY REPORT (Plans)

Significant activities & developments that have occurred or shown progress during the quarter including a comparison of actual accomplishments to the work schedule objectives established in the application:

County information was gathered to successfully complete the Natural Hazard Mitigation Plan. A county profile was created that contained county history, county jurisdictions, census data and potential projections, county topography, land use, and the specific public utilities that serve the county. This information allowed for the development of an adequate planning process. Public Meetings and mitigation committee meetings were held to gain insight and guide the mitigation tactics that would be created to combat future hazardous events. A thorough hazard profile was created that singled out specific hazards that the county is susceptible to (i.e. severe storms, floods, winter storms). This profile determined the frequency of past events and damage that has occurred of those events. From the hazard profile a vulnerability assessment was created that determined from the information the hazard profile gave what hazard event the county was the most susceptible to. This vulnerability assessment looked at critical facilities, potential dollars lost from events, and also prioritized the hazards by which was the most likely to be significant to the least. Finally, goals and mitigation actions items were created through committee meetings to protect the county from harm from hazardous events in the future. Currently, the plan is in the final editing phase, with the goal of submitting by the end of January 2011.

STATE OF OHIO
HMA QUARTERLY REPORT (Plans)

Please explain how the total amount of funds was spent this quarter (Federal plus Local). Also provide documentation that verifies your expenses and attach the documents to this form.

The funds were spent on GIS mapping services and labor. The county GIS department developed maps which will be used in the final product. An attached invoice provides documentation.

Report Submitted by: (Print Name)

Jeff Galloway

Title:

Director

Signature:

J Galloway

Date:

1/18/11

INVOICE

Butler County
Emergency Management Agency
315 High St., Suite 670
Hamilton, Ohio 45011
Phone (513)785-5810
Fax (513) 785-5811

SOLD TO:

Butler County GIS
130 High St.
Hamilton, OH 45011

INVOICE NUMBER [Invoice Number]**INVOICE DATE** January 18, 2011**TERMS** [Terms]**SHIPPED TO:**

[Shipped To]

QTY	DESCRIPTION	PRICE	AMOUNT
20	Maps plus Labor for Hazard Mitigation Plan	\$32.70	\$32.70
SUBTOTAL			\$654.00
TAX RATE			
TAX			0.00
FREIGHT			0.00
			\$654.00
			TOTAL DUE

THANK YOU FOR YOUR BUSINESS!



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ADMINISTRATIVE STAFF

JEFF GALLOWAY
DIRECTOR

EXECUTIVE BOARD

MEMBERS

MARK SUTTON
CHAIRMAN
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FAIRFIELD TOWNSHIP

STEVEN BOTTS
VICE-CHAIRMAN
FIRE CHIEF
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CHARLES FURMON
BUTLER COUNTY
COMMISSIONER

RONALD D'EPICANIO
MAYOR
CITY OF FAIRFIELD

LEN ENDRESS
CITIZEN
MEMBER AT LARGE

PAUL CALLESPIE
TRUSTEE
MILFORD TOWNSHIP

TIM MILLER
TRUSTEE
REELY TOWNSHIP

CARY SALMON
TRUSTEE
OXFORD TOWNSHIP

CATHERINE STOKER
TRUSTEE
WEST CHESTER TOWNSHIP

RAY WURZELBACHER
TRUSTEE
ROSS TOWNSHIP

ALAN DANIEL
TRUSTEE
MADISON TOWNSHIP

PATRICK HILTMAN
TRUSTEE
LIBERTY TOWNSHIP

TONY DWYER
CHIEF DEPUTY
BUTLER COUNTY SHERIFF'S OFFICE

BUTLER COUNTY EMERGENCY MANAGEMENT AGENCY

315 HIGH STREET, SUITE 670 • HAMILTON, OHIO 45011 • PHONE: (513) 785-5810 • FAX: (513) 785-5811
Email: gallowayjl@butlercountyohio.org

As a potential sub grantee in the Hazard Mitigation Grant Program, Butler County, Ohio, hereby commits the matching funds necessary for the All Natural Hazards Planning Grant funded under FEMA-DR-1805-OH.

Upon FEMA project approval, Butler County, Ohio, is responsible for 25% of the total project cost and intends to utilize the following non-federal sources:

- In-Kind Contribution, \$ 1,698.57, In Kind Administrative service available as of May 7, 2010
- In-Kind Contribution, \$ 25.00, In Kind Supplies available as of May 7, 2010
- In-Kind Contribution, \$ 390.00, In Kind Other (Printing) available as of May 7, 2010
- In-Kind Contribution, \$ 30.00, In Kind Other (Mileage) available as of May 7, 2010
- In-Kind Contribution, \$ 37.50, In Kind Other (Phone Bridge) service available as of May 7, 2010

May 11, 2010

As chief elected officials, we understand the responsibilities of a sub grantee in the Hazard Mitigation Grant Program (HMGP) and hereby authorize the use of these non-federal funds for this proposed project.

Signature of Applicant

5/11/10
Date

Signature of Applicant

5/11/10
Date

Signature of Applicant

Date

"Coordinating people and resources to protect the lives and property within Butler County by working together to achieve one goal for Mitigation, Preparedness, Response, and Recovery for Disasters and Emergencies"